

Don't Climb! A Big NAT No-No

Chris Shieff
25 June, 2024



Last week, **Gander Oceanic** asked us to get the word out on this growing problem. More and more crews are getting this wrong, especially since OCR/RCL is starting to happen elsewhere on the ocean. The same issue is common on the other side of the pond, most frequently in the **Shannon FIR**.

What's the problem?

Pilots climbing without a clearance.

Why would we do that?

Because we think we have a clearance.

OK, tell me more

When you get your **Oceanic Clearance - or send your RCL**, it contains an Oceanic Entry Point, Flight Level, and Speed. From that point, that's what you should fly. But if you are currently at a different level to the Oceanic Cleared Flight Level, you have to **ASK** for the level change. That's really all there is to it.

Oceanic Clearance is not a Domestic Clearance

Your Oceanic Clearance is valid **only** from the Oceanic Entry Point (OEP). Take this example.

ACA123 CLRD TO LFPG VIA **NEEKO** 54N050W 56N040W 57N030W 57N020W PIKIL SOVED
FM NEEKO/1348 MNTN F330 M082

Your Oceanic Clearance commences at NEEKO. You must be at FL330 by the time you reach NEEKO, and then track to 54N50W.

But, if you're still somewhere over Newfoundland at say FL320, you have to request higher from Gander Domestic ATC, before you climb to your Oceanic Level.

If you just decide to climb without asking, that's where your day will start to go wrong.

Recent procedural changes to the NAT may also be compounding the problem, so let's take a closer look.

Wait, I thought Oceanic Clearances on the NAT were a thing of the past?

Soon soon, but not yet. While Reykjavik and Santa Maria have removed oceanic clearances, Bodø, Gander and Shanwick are still targeting December 4 for the big switch. Until then, expect to receive a conventional oceanic clearance when approaching their airspace.

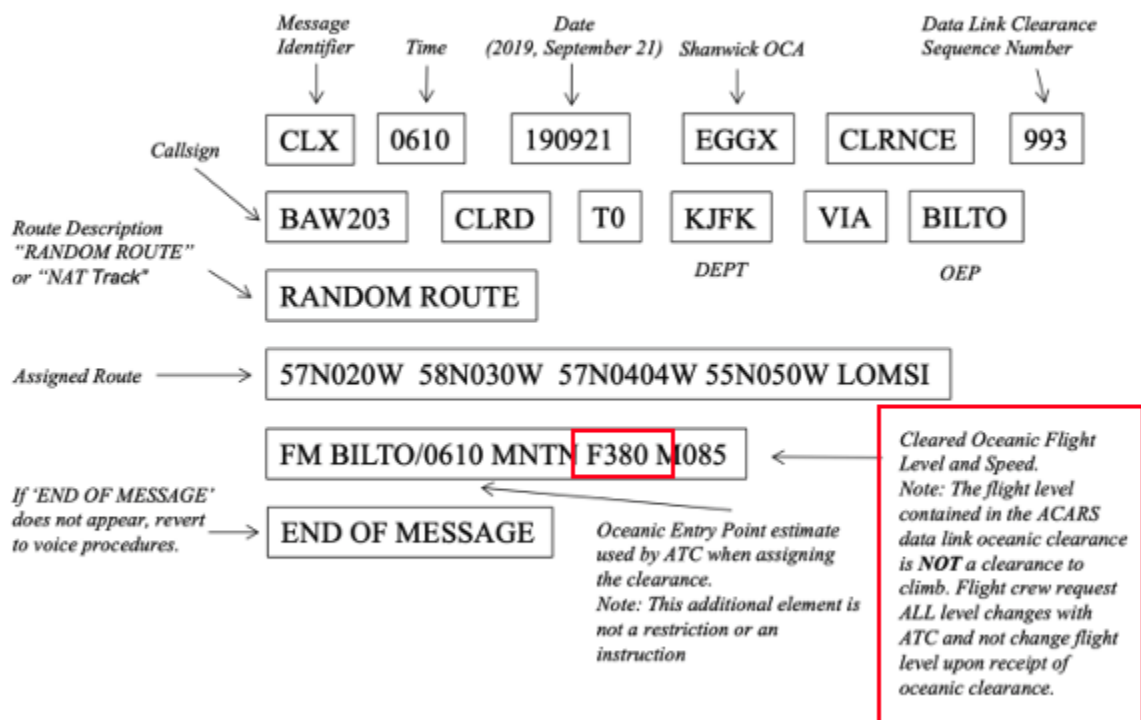
Oceanic Clearances

You can read all about them in NAT OPS Bulletin 2020_001 Rev 1, but the crux of the issue is found in Section 5.3 (Clearance Delivery):

... The flight level contained in the ACARS data link oceanic clearance is the "cleared oceanic flight level" for the purposes of complying with the lost communication procedures detailed in State AIPs, ICAO Doc 7030 (North Atlantic Regional Supplementary Procedures) and NAT Doc 007. ATC is responsible for providing a clearance to enable the flight to reach this flight level before reaching the OEP. If there is a concern, flight crews should contact ATC...

They made this handy picture too:

12. EXAMPLE OF ACARS DATA LINK OCEANIC CLEARANCES



In other words, the flight level contained in the ACARS datalink oceanic clearance is NOT a clearance to climb (or descend). You need to request this with your active ATC.

Why is this becoming a problem again?

We can only speculate – Gander aren't sure either. But we suspect the use of datalink, in addition to recent RCL changes may be the culprit. For instance, back in May, the automated response to an RCL message was changed (ironically to reduce any ambiguity). It now only reads "RCL Received by (ANSP)." In other words, the "fly current flight plan or as amended by ATC" bit was removed. A full oceanic clearance therefore contains more information, and the use of ambiguous phrasing such as 'cleared level' may be creating more confusion on the NAT than ever before.

Questions?

Comment below, or email the OPSGROUP Team for help!

Oceanic Errors on the North Atlantic

David Mumford
25 June, 2024



ICAO have updated their “Oceanic Errors” NAT Ops Bulletin – the doc which has all the advice for operators on **how to avoid the common mistakes when flying the North Atlantic.**

These include: Gross Nav Errors, Large Height Deviations, and Longitudinal Separation busts. There’s also some advice on Flight Planning, SLOP, and some datalink things to watch out for.

You can download the NAT Ops Bulletin [here](#):

Looks like there are no big changes in terms of content for this updated version when compared with the old one from last year – they’ve improved the language to be more friendly to human ears, and corrected some of the references. But if you operate over the North Atlantic it’s still worth a read, as there’s lots of **top tips on how to avoid the most common gotchas!**

Safety on the NAT: B+ with room for improvement

OPSGROUP Team
25 June, 2024



The eighth Annual Safety Report for the North Atlantic Region is out, and it looks good. **A solid B+ for pilots and ATC alike.**

But there is still room for performance improvement, so here are the highlights from the report to focus on.

Did anyone fly in 2020?

The number of flight hours in the NAT HLA through 2020 was **892,137** which was unsurprisingly a decrease on the 2019 hours (2,063,908 in case you're wondering).

The **peak week** was July 15-21 when it saw 5,621 flights crossing, compared to 13,733 for the peak week of 2019.

If you want to check and compare all the stats to 2019 then here is our post on that.

What have they been monitoring?

Safety Performance in the NAT HLA is monitored and measured in **12 areas**. The targets for 6 of these were achieved in 2019, while **2020 achieved an impressive 8**.

The biggest improvements seem to be:

- Less Large Height Deviations where Datalink was **not** in use
- A reduction in the amount of time aircraft **with** datalink spent at the wrong flight level
- A reduction in the number of GNE events involving aircraft **with** datalink

How likely are you to fly into someone else?

Much of the safety focus in the NAT really boils down to this – **it is an area of reduced separation and high density traffic**. So, they also worked out **the risk of collision** and in 2020 it reduced by **74%**, which is probably down to less aircraft but also to less mess-ups.

SLOP is one of the main factors in reducing this number. And it doesn't just reduce the risk of collision, it reduces your risk of running into wake turbulence as well. So keep up that slopping, up to 2nm

right (and 0.1nm increments).

Who's to blame for the times it did go wrong?

Ok, ok, the purpose of the report is not to point fingers, but to understand where improvements can be made.

The Top 10 factors in errors haven't really changed – ATC coordination errors are top, closely followed by “crew other” (which pretty much means crew not doing what they're told, messing up etc) and then interestingly **application of contingency** (other than weather).

So here is a quick recap on those Contingency Procedures to follow

Some facts and figures

Since 2019, **70%** of core NAT traffic has been using **ADS-B**.

There have been **no accidents** in the NAT since at least 2017. 2020 also saw **no losses of lateral separation** for the first time since 2017.

They did see 47 LHDs, 57 Lateral Deviations (15 were GNEs, the other 13 were caught and corrected by ATC), 26 coordination events, 1 longitudinal loss of separation and 30 events they prevented where someone was basically just flying the wrong flight profile.

18% of events were down to **ATC coordination** between different ATC sectors.

18% also came down to **fight plan versus clearance** issues.

11% were **weather** related.

Issues with **dispatch** contributed another 8% and everything else was down to, well, lots of other things.

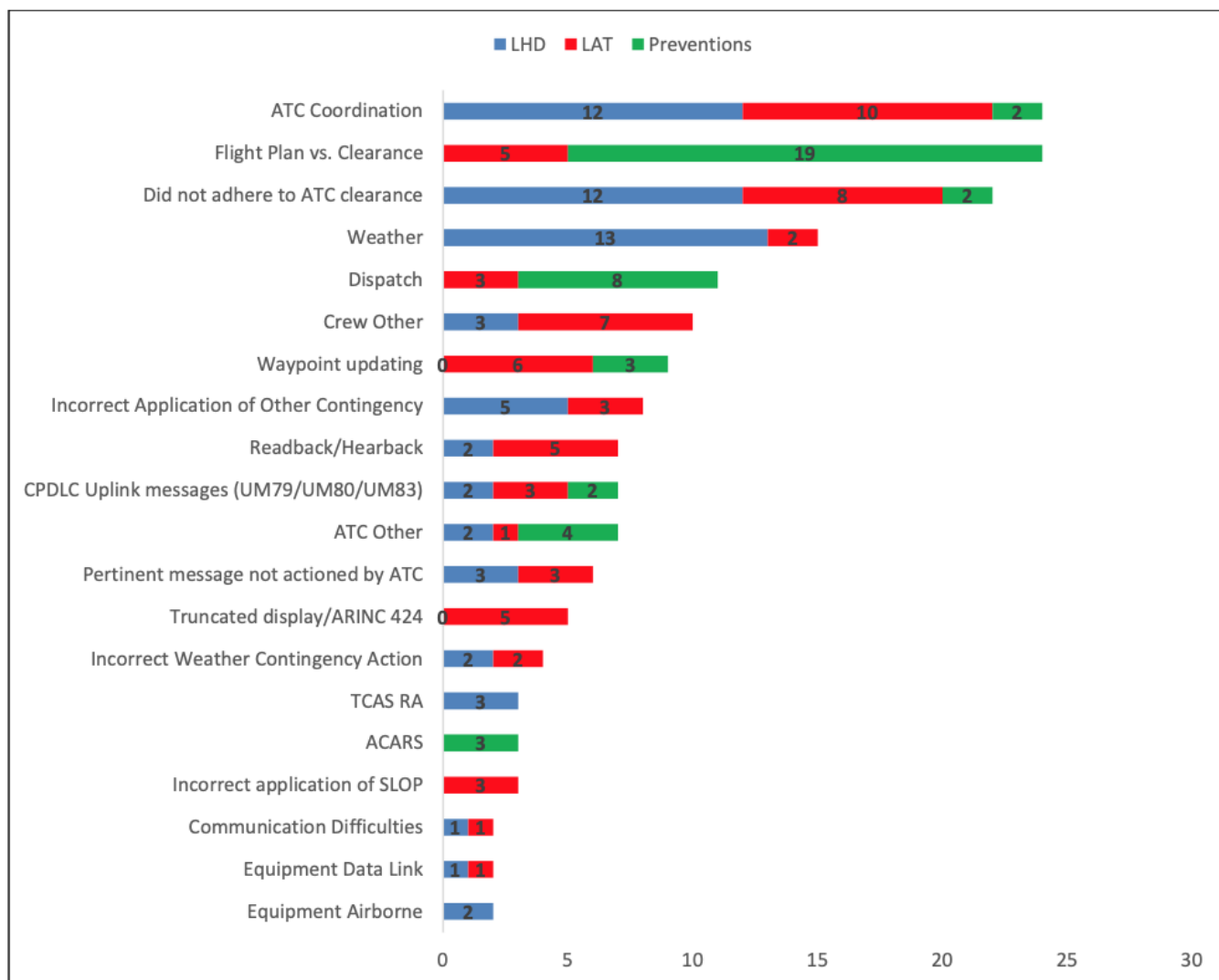


Figure 2: Contributing issues to events in the NAT HLA in 2020 (subject to change – see Note 1)

How can we improve?

Follow the **Golden Rules** of operating in the NAT HLA:

- **Have the Right Equipment:** If you ain't sure then check out our Circle of Entry.
- **Have a Clearance:** If you can't get it on CPDLC then have those HF or VHF frequencies ready for a voice clearance, and make sure you read it back and confirm it correctly.
- **Check your Route:** This means flying what you've actually been told to fly which means checking what is in the airplane box matches what is in the clearance. It probably should say 'flight profile' because it means route, altitude and speed.
- **Know your Contingencies:** We added the picture above to help. Read more about this here.

And don't forget to **SLOP**.

Keep up to date on NAT info

- Here is your link to the full report for 2020.
- ICAO Doc 007 is your go to guide.

- We also try to keep you up to date with changes on the NAT. See our latest update here from Feb 2021.

Photo @Algalv from Wikimedia Commons

No Room for Error - GNE's and the North Atlantic

Chris Shieff
25 June, 2024



Advances in technology mean that aircraft in the North Atlantic High Level Airspace (NAT HLA) are flying laterally, longitudinally, and vertically closer than ever before. But North Atlantic gross navigational errors (GNE's), which are lateral off-track deviations of 10nm or more, still occur regularly, and jeopardise the safety of you and the traffic around you. So don't leave it up to Air Traffic Control (ATC) to discover your GNE! In this article, let's look at some common human slip up's that lead to GNE's, and what we can do to prevent them.

Pre-Flight Operating to the highest standards of navigational performance demands the **tedious and careful monitoring** of aircraft systems. Unfortunately, humans are by nature not the best monitors. During the long quiet of an oceanic crossing, we can fall victim to **cognitive traps** such as change blindness, expectation bias, and complacency.

But the potential for error on Atlantic crossings begins well before the first coast-out waypoint. In fact, it begins before take off. The following four areas are where strategies in mitigating a GNE begin.

1) Data Entry

Via ACARS:

Many pilots now use ACARS to automatically downlink the entire flight plan and winds aloft directly to the FMS. But an over-reliance on automation can lead to complacency, and so **the more reliable the system, the more complacent we become** as monitors. In one incident, a Boeing 747 suffered a GNE of **120nm**. The flight plan downlink from ACARS unfortunately contained one bad coordinate that went unnoticed. Once lured into complacency by such reliable technologies, there can be a temptation to omit cross-checking.

What can we learn from this? Always verify the **full** coordinates in an ACARS downlinked flight plan. Similarly, if several different flight plans were run, ensure that you request your downlink using the **most current and filed flight plan number**.

Manually:

A manual entry means a pilot inserts the flight plan's waypoints directly into the aircraft's flight management system (FMS). But no matter how meticulously one may be, manual data entry can still produce errors. Then how do we guard ourselves against these errors?

Firstly, **avoid using ARINC 424** shorthand for programming oceanic points. This has been a factor in many GNE's, given how easy it is to misplace the letter as a prefix or suffix. For instance, consider how simply misplacing the "N" could cause a drastic lateral deviation:

- 50**N**60 = 50N 160W
- 5060**N** = 50N 060W

If you have the capability on your aircraft, use the full coordinates, including minutes.

For the last few years, use of half degrees of separation has been on the rise in an attempt to enhance airspace efficiency. But on flight displays units that only show 7 digits, these half degree coordinates are misleadingly displayed as full coordinates. For instance, the half coordinate N55°30' W020° will display as N55°W020° (see image below, which shows identical waypoint labels for points separated by half a degree!). In this case, it is imperative to view the expanded version of coordinates (degrees *and* minutes).



Another frequent error leading to GNE's is *transposing* numbers during data entry. This commonly occurs when you complete almost the entire crossing along one degree of latitude, then fly the last waypoint at a different latitude. For example, with a cleared route of 57°N 050°W, 57°N 040°W, 57°N 030°W, **56°N 020°W**, one can accidentally enter **57°N 020°W**. This will put you 60nm off course.

But there is good news! These errors are easy to recognize and avoid by having a specific method of waypoint verification.

2) Waypoint Verification

Whether entered via ACARS or manually, both crew members must come together to perform a **thorough cross-check**. The following method recommended by ICAO in Doc007 seems to work the best:

- One pilot reads the waypoint/coordinates, bearing and track from the FMS.
- On the master document, the other pilot will circle the waypoint to signify the insertion of the correct FULL coordinates in the navigation computers
- The circled waypoint number is ticked, to signify the relevant track and distance information matches
- (In flight) The circled waypoint number is crossed out, to signify that the aircraft has overflown the waypoint.

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Cognitive Traps:

Expectation Bias is when your perception is influenced by your preconceptions. It is vital that the second crew member crosschecks **from the FMS/CDU** to the master document – and not vice versa – thereby increasing the chance of spotting an error.

Pop-up trip hustle – It's one thing reading about waypoint verification, but it's another thing actually sitting down and taking the time to do it. Do not be tempted to crosscheck your own work because you're in a time crunch – it requires at least **two separate sets of eyes**.[/fancy_box]

3) Initialisation of navigation systems

The navigational integrity of your entire flight is predicated on an accurate starting position. Even a small error with on the ground can translate into a gross error later down the line in flight.

The FMS GPS position and your current parking coordinates (found on the 10-9 pages) must match. Avoid using "last position" function in the FMS – if you were towed overnight, the "last position" will be your previous location, not your current one! Sounds obvious, but mistakes happen.

Inertial systems, once aligned, must also complement the GPS coordinates. Initialisation of inertial navigation systems can take between 6-15 minutes, and errs on the longer side at more northerly latitudes – so be patient! Moving the aircraft during alignment **will cause an alignment error**. **Bottom line: avoid repositioning/towing the aircraft during alignment, even it is to a nearby spot on the same ramp area.** Position errors like this cannot be corrected once in flight.

4) Your Master Clock - (iPhones not authorised!)

Since our ETAs for oceanic waypoints must be accurate within +/- 2 minutes, it is vitally important that, prior to entry into the NAT HLA, your master clock is accurately synchronised to UTC. ICAO Doc007 has a list of approved sources from which you can set your aircraft master clock (and your iPhone isn't one of them!). You are approved to use the GPS time which can be found in the FMS.
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Cognitive Trap:

Close to the E/W Greenwich line or close to the equator, you'll just be on the fringes of the opposing segment. So, take a close look at the E/W or N/S letter coordinates, especially if you are usually accustomed to flying from one particular geographic area. [/fancy_box][heading]Clearances & Communication[/heading]With a move away from spoken communications and towards datalink procedures, requesting, copying and verifying a clearance becomes a much simpler task! But it is still important to know your own limitations in the rare instance that you need to copy a clearance via voice.

Casual radiotelephony should be avoided

Casual radiotelephony can be the source of misunderstanding coordinates or clearances, and so all waypoint coordinates must be read back in detail, adhering strictly to standard ICAO phraseology. An example of standard ICAO phraseology requires enunciation of every individual digit. 52 North, 030 West would be read back as "Fife two north, zero tree zero west" as opposed to "fifty-two north thirty west". Have no doubt about it, Shanwick can be the most strict in this regard.

Distractions and workload

If your departure airport is close to the oceanic boundary, e.g. Shannon or Miami, the benefit is that you will copy your oceanic clearance on the ground. Unencumbered by distractions typically associated with being in flight, you can focus almost fully on the task at hand. However, most flights pick up an airborne clearance, and it is important to **prioritise this for a period of low workload**.

Take the example of a Bombardier Global Express crew that narrowly avoided a GNE after copying a clearance. While they were in the midst of crosschecking the clearance with the FMS *and* climbing to their initial altitude, the flight attendant approached them with an issue. Instead of waiting, one of the pilots attended to the problem. A new waypoint wasn't entered, and it was later caught by ATC in a position report. **Try to avoid non-vital tasks until ALL the steps regarding copying, verifying and inputting a clearance are complete.**

Following these simple standard operating procedures (SOPs) step-by-step will guard against clearance errors. If the steps are interrupted for any reason, start again from the beginning.

- Two pilots monitor and record the clearance. The Pilot Monitoring (PM) will contact clearance delivery, while Pilot Flying (PF) monitors both the primary ATC frequency and the clearance delivery frequency.
- The PM then records the clearance on the master document. The PF also copies down the clearance separately.
- Clearance is read back to ATC. *Any disparities between both pilots' interpretations of the clearance must be clarified with ATC.*

- A deliberate cross check of the clearance to the filed flight plan and the FMS is made.

Re-Clearance

According to ICAO Doc007, *"In the event that a re-clearance is received when only one flight crew member is on the flight deck...changes should not be executed...until the second flight crew member has returned to the Flight Deck and a proper cross-checking and verification process can be undertaken."* Sorry, they just don't trust you to do this by yourself, and neither should you!

Errors associated with re-clearances, re-routings and/or new waypoints continue to be the most frequent cause of GNE's. Therefore, a re-clearance or amended clearance should be treated virtually as **the start of a new flight** and the procedures employed should all be identical to those procedures employed at the beginning of a flight.

- Both crews note the re-clearance
- Reply to ATC via ACARS or voice
- Amend the Master Document
- Load the new waypoints into the FMS from the updated Master Document
- One pilot verifies the input of the new waypoints reading **from** the FMS
- Verify the new tracks and distances, if possible
- Prepare a new plotting chart/re-plot in Jeppesen EFB

With datalink, you might have the capability to load the new route directly from the ATC message into your FMS flight plan. This will eliminate a transcription error on your part, but you cannot always count on the FMS to load this seamlessly. Oftentimes, if a revised coast-in waypoint doesn't connect with your originally planned domestic airspace airway, it might cause a discontinuity. Worse, some crew have experienced their entire domestic flight plan drop out, left with only the oceanic portion.

Conditional Clearances - There's always a catch!

A conditional clearance is an ATC clearance given to an aircraft with certain conditions or restrictions, such as changing a flight level based on a time or place. Conditional clearances add to the operational efficiency of the airspace, but are commonly misinterpreted by flight crews.

Shannon has been known upon first VHF contact to provide lateral conditional clearances on coast-in. For example: "N135AC, *after* DINIM, direct ELSOX". Often, crew have been known to read back the *correct* transmission, but then execute the wrong procedure by proceeding directly to ELSOX.

Why is this happening? In studies of linguistics, **verbs** (such as 'direct') have been noted as having a perceptual priming effect, that more **easily grabs our attention** at the expense of weaker prepositions (such as 'from' or 'after'). Listen carefully for prepositions. Similarly, in aviation vernacular, the word 'direct' means to proceed **now** to the specified waypoint. As pilots, we can distinguish this meaning with very little effort, and most of the time can expect to proceed present position direct. Thus, we are *primed* to go direct.

While this isn't a complex sentence, research indicates that transmissions involving serial recalls (such as "proceed here *then* here...") are susceptible to distortion, with the last word or item more commonly interfering with recall of the previous item.

A really simple way to prevent this is to **write down** clearances as they are being read to you, *then* read-back the transmission. You can also call attention to a conditional clearance by prefixing their read-back with the word "Verify" or "Confirm" over the radio. Via datalink, sufficient care always must be taken when factoring in all the contents of a clearance before acknowledging the message. The initial phrase "MAINTAIN FLIGHT LEVEL 300" is included to stress that the clearance is **conditional**. If the message is about to time out, and you need more time to process its contents, reply using "Standby". Respond at your own pace!

Cognitive Trap:

On the longer route segments between New York and Santa Maria, "when able higher" (WAH) reports might be solicited. ATC acknowledgement of a WAH report must not be misconstrued as a conditional clearance to climb. Any climb clearances will be issued **separately** from a WAH acknowledgement.

10-minute Check - put the (Bad) Elf on the shelf for this

One of the best ways to capture a potential GNE and refresh your situational awareness is with the sublimely simple 10-minute check. Ten minutes after waypoint passage, you'll use your current coordinates to plot your position on your plotting chart. If the coordinates don't land on the plotted track line, an investigation into the source of the error must begin immediately. It doesn't hurt to even make additional plots between waypoints too, but ICAO only requires the one 10-minute check.

Today, more pilots are carrying independent GPS units in their flight bags, providing crew with own-ship on their oceanic route map. Tempting though it may be to use this for present position information, it is currently not an approved source of navigation, and should **NOT** be used in lieu of a 10-minute check.

Cognitive Trap

It is easy to forget about the 10-minute check. Setting a timer once your waypoint passage tasks have been completed will help remind you to do so.

Autopilot mode - "Wait, are we supposed to be in heading?"

Incorrect autopilot mode selection has been known to be a factor in GNE's. On an oceanic crossing, you can bank on being in NAV or LNAV most of the way across the Atlantic. But perhaps you used heading mode to deviate for weather or to intercept a SLOP. It is not uncommon among pilots to spare your passengers two steep banking turns (thanks LNAV!) by manually flying a SLOP intercept in heading mode. But if you forget to re-engage LNAV, you will continue drifting on your merry way, further and further off course.

Distraction, fatigue or complacency are common reasons for losing mode awareness, so the following simple tricks will help mitigate autopilot induced GNE's.

- It helps to **verbally announce** when you are transitioning temporarily into heading mode, to bring both pilots in the loop.
- Employing **sterile cockpit** until you're back in LNAV will help mitigate distractions.
- In an abundance of caution, you can keep a **finger** on the heading button or heading dial until you are back in LNAV will serve as a reminder.

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Cognitive Trap:

The flight mode annunciators (FMA's) are the most reliable indicators of automation selection - more so than the flight guidance panel! Yet, a study found that pilots pay superficial attention to the FMA's during critical mode changes. Don't waste a valuable resource, and do consciously **bring the FMA's into your scan**. Deliberate cross-checking and monitoring are a critical last line of defense for which we, as pilots, don't get explicit training, but are nevertheless expected to perform effortlessly. But over the North Atlantic, there is little room for error. So, let's recap what can be done!

1. **Allow sufficient time on the ground to set up**
2. **Closely scrutinise data entry - whether the source is human or ACARS!**
3. **Work together on waypoint verification**
4. **Don't work single pilot - always keep all crew in the loop**
5. **Deal with clearances and re-clearances methodically**

Understanding our vulnerabilities is key to the process of mitigating errors. Armed with an understanding of our own limitations, and an appreciation for the practices and habits mentioned above, a 'would-be' GNE can be averted.

Links

ICAO Doc 007

Global Operational Datalink Document (GOLD)

Oceanic Errors

Declan Selleck

25 June, 2024



Unfortunately, we don't fly with three in the cockpit anymore – or even four. The navigators job falls squarely onto the front two seats. Over one weekend in April there was one **Gross Navigation Error**, and two close calls reported on the North Atlantic.

April 22nd (Friday)

Democratic Republic of the Congo Boeing 727 100 (9QCDC/DRC001) from Santa Maria Island, Azores (LPAZ) to St. John's NL (CYYT)

At 1235Z, Observed on radar to be over position 4720N 4745W, which was approximately **60 miles** north of the cleared route 45N 45W – 47N 50W. The crew reported correctly while in oceanic airspace. The flight was cleared direct to YYT and landed without incident at CYYT. There was no traffic, and no other impact to operations.

April 24th (Sunday)

Neos Airline Boeing 767-300 (INDDL/NOS730) from Ferno, Italy (LIMC) to Havana, Cuba (MUHA)

Cleared via 49N030W 48N040W 45N050W. At 30W, the flight reported 48N040W 44N050W. The aircraft recleared to 45N050W prior to proceeding off course.

Apr 25th (Monday)

Transportes Aereos Portugueses Airbus A330-202 (CSTOO/TAP203) from Lisbon, Portugal (LPPT) to Newark, NJ (KEWR)

Cleared 46N030W 46N040W 45N050W. The aircraft reported proceeding via 46N030W 46N040W 44N050W, as per the original flight plan. The aircraft was recleared via 45N050W prior to proceeding off course.

Did you notice how hard it was to find the error in the above two examples?

Gross Navigation Errors are a really interesting topic, and relevant not just on the North Atlantic but in any Oceanic or Remote airspace where ATC cannot monitor the aircraft tracking.

What defines a GNE? Normally, 25nm: That is, when on “own navigation” the aircraft departs the cleared route by more than 25nm. The NAT Central Monitoring Agency (CMA) now defines a Gross Navigation Error as 10nm instead of 25nm.

Annually, the biggest offenders in order of “market share” are: 1. Corporate/Private, 2. Military/State 3.

Civil airlines.

How to Avoid a GNE?

(aka How to avoid a Nastygram from the Authorities):

In general, when operating outside of ATC Radar coverage in any airspace:

- Crews: Don't have more than one paper copy of the Flight Plan in the cockpit. Mark the active one "Master Document". Hide any other copies where you won't find them.
- Ops: If you send a new Flight Plan to the crew, tell them what the changes are – especially if you've filed a different route in Oceanic or Remote Airspace.
- **Fly the Clearance, not the Filed Plan.** This is the biggest gotcha. As soon as you reach the Oceanic Entry Point, or leave radar airspace – refer only to the most recent Clearance from ATC. The filed plan is a request only – sounds obvious, but most GNE's occur because the crew fly the filed plan although there was a reroute.
- **Be aware of the 'ARINC424 problem':** In the aircraft FMS, and map display, the current common waypoint format is 5230N for position 52N030W (as prescribed by ARINC 424). To show position 5230N030W – ARINC 424 offers a format N5230. The potential for confusion is clear. ICAO, in NAT Ops Bulletin 3/15, have recommended that operators use the format H5230, if a five-letter FMS format waypoint is required. In addition pilots are recommended to cross check any waypoints that don't have a 'name'.
- Use a **plotting chart** – it's mandatory. You don't have to use ours, but use one.
- Use an **Oceanic/Remote Area Checklist** (sample link below).

And specifically on the Atlantic:

- Read the advice on the Daily Track Message – waypoint cross check, Fly the Clearance (and be sure it is the clearance!)
- Know the weather deviation procedures: Even with the new "Half Tracks", there are no changes to the in flight contingency procedures and weather deviation procedures as detailed in PANS ATM Doc444 Para15.2 & 15.2.3.

Here's some links and resources that we think are really useful:

- **Sample Oceanic Paperwork**
- **Oceanic Checklist**
- **Oceanic Plotting Chart**
- **ICAO: Gross Navigation Errors: NAT Ops Bulletin 02/2014**

For regular notices and content like the above, consider joining **OPSGROUP**.